

WZW AND MINIMAL MODELS

FOR READING GROUP MEETING

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MINIMAL MODELS

MINIMAL MODELS: VERMA MODULES AND UNITARITY

- Denote by $V(c, h)$ the Verma module generated by $\{L_n\}$ for some value c of central charge and highest weight h .
- Pick basis states $|i\rangle$ of $V(c, h)$ at some fixed level l , then consider $M_{ij}^{(l)} = \langle i|j\rangle$. Kac shows

$$\det M^{(l)} = \alpha_l \prod_{\substack{r,s \geq 1 \\ rs \leq l}} (h - h_{r,s}(c))^{p(l-rs)} \quad (1)$$

- ▶ If we tune $h = h_{r,s}(c)$, then there is a null state and $V(c, h)$ is reducible, with its first null state at level rs .

$$h_{r,s}(c) = h_0 + \frac{1}{4}(r\alpha_+ + s\alpha_-)^2 \quad (2)$$

$$h_0 = \frac{1}{24}(c - 1) \quad (3)$$

$$\alpha_{\pm} = \frac{\sqrt{1-c} \pm \sqrt{25-c}}{\sqrt{24}} \quad (4)$$

MINIMAL MODELS: FAMOUS EXAMPLE

The state

$$|\chi\rangle = \left(L_{-2} - \frac{3}{2(2h+1)} L_{-1}^2 \right) |h\rangle \quad (5)$$

is null iff

$$h = \frac{1}{16} \left\{ 5 - c \pm \sqrt{(c-1)(c-25)} \right\}. \quad (6)$$

In terms of fields, this lets us write

$$\chi(z) = \phi^{(-2)}(z) - \frac{3}{2(2h+1)} \frac{\partial^2}{\partial z^2} \phi(z). \quad (7)$$

Correlation functions must satisfy the induced differential equation

$$\left\{ \sum_{i=1}^N \left[\frac{1}{z-z_i} \frac{\partial}{\partial z_i} + \frac{h_i}{(z-z_i)^2} \right] - \frac{3}{2(2h+1)} \frac{\partial^2}{\partial z^2} \right\} \langle \phi(z) X \rangle = 0 \quad (8)$$

In particular three-point function must satisfy it, restricting the operator algebra.

- In general, if $h = h_{r,s}$ then there is a null vector at level rs and we (BPZ) may work to get the fusion rules

$$\phi_{(r_1, s_1)} \times \phi_{(r_2, s_2)} = \sum_{\substack{k=r_1+r_2-1 \\ k=1+|r_1-r_2| \\ k+r_1+r_2=1 \pmod{2}}}^{k=r_1+r_2-1} \sum_{\substack{l=s_1+s_2-1 \\ l=1+|s_1-s_2| \\ l+s_1+s_2=1 \pmod{2}}}^{l=s_1+s_2-1} \phi_{(k,l)} \quad (9)$$

- ▶ Degenerate conformal families (conformal family modulo the null vector at level rs) form a closed operator algebra.
- ▶ Can still be an infinite number of families in the theory.
- Can we make it so that there are only a finite number of conformal families?

MINIMAL MODELS: TRUNCATION OF THEORY

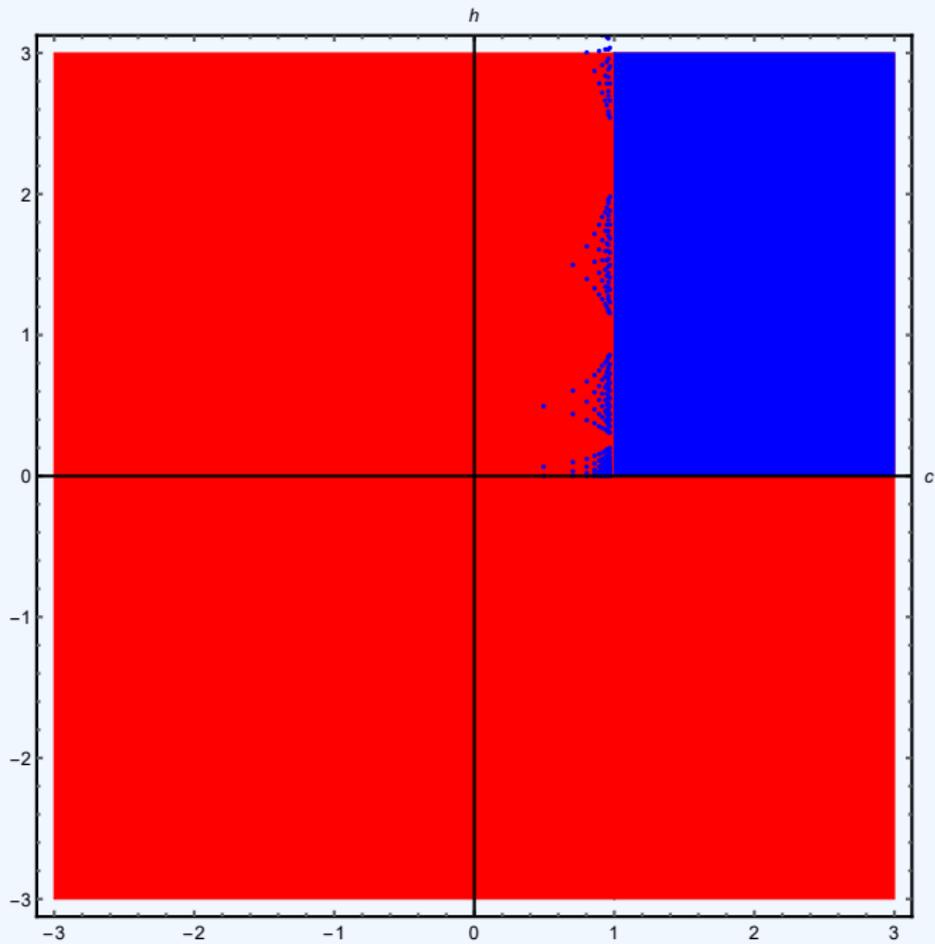
- Yes! Given positive co-prime integers $p > p' \geq 2$, one gets a finite number of local fields with well-defined scaling behaviour if

$$c(p, p') = 1 - 6 \frac{(p - p')^2}{pp'} \quad (10)$$

- ▶ Unitary if $(p, p') = (m + 1, m)$.
- This means that any highest weights would take values in

$$h_{r,s} = \frac{(pr - p's)^2 - (p - p')^2}{4pp'}. \quad (11)$$

- ▶ This has the property that $h_{r,s} = h_{p'-r, p-s}$
 - ▶ Finite set of weights $h_{r,s}$ is often delimited by $1 \leq r < p'$ and $1 \leq s < p$, but half are redundant due to index relation
 - ▶ A closed operator algebra of $(p - 1)(p' - 1)/2$ distinct fields.
- Such theories are called *minimal models*.



WZW MODELS

WZW MODELS: GENERAL FACTS

- Set of holomorphic currents $J^a(z)$ subject to the OPE

$$J^a(z)J^b(w) \sim \frac{\kappa^{ab}}{(z-w)^2} + \frac{if^ab_c}{z-w}J^c(w) \quad (12)$$

- ▶ f^ab_c define a Lie-algebra \mathfrak{g}
- ▶ If \mathfrak{g} is simple, $\kappa^{ab} = k\delta^{ab}$ for some k
- ▶ Laurent modes have structure of *affine Kac-Moody algebra*

$$[J_m^a, J_n^b] = km\delta^{ab}\delta_{m+n,0} + if^ab_c J_{m+n}^c \quad (13)$$

- *Sugawara construction* gives $T(z)$ and tells us

$$c = \frac{k \dim \mathfrak{g}}{k + h^\vee} \quad (14)$$

- ▶ h^\vee is the dual Coxeter number for \mathfrak{g}

- Consider $\mathfrak{su}(2)_k$

$$[J_m^3, J_n^3] = \frac{k}{2} m \delta_{m+n,0}, \quad (15)$$

$$[J_m^3, J_n^\pm] = \pm J_{m+n}^\pm, \quad (16)$$

$$[J_m^+, J_n^-] = km \delta_{m+n,0} + 2J_{m+n}^3. \quad (17)$$

- HWS $|j\rangle$ corresponding to integrable rep satisfies

$$J_n^a |j\rangle = 0 \quad \text{for } n > 0, \quad (18)$$

$$J_0^+ |j\rangle = 0, \quad (19)$$

$$J_0^3 |j\rangle = j |j\rangle. \quad (20)$$

Here $0 \leq j \leq k/2$

- Verma-module from lowering operators is not irreducible.

$$|\mathcal{N}\rangle = (J_{-1}^+)^{k+1-2j} |j\rangle = 0. \quad (21)$$

WZW MODELS: COSET CONSTRUCTION

- Coset construction takes a WZW-model \mathfrak{g}_k and a sub-algebra $\mathfrak{h}_{k'} \subset \mathfrak{g}_k$ to be gauged.
- Chiral algebra consists of all fields in \mathfrak{g}_k model, which have regular OPE with *Kac-Moody fields* of $\mathfrak{h}_{k'}$.
- $T^{\mathfrak{g}/\mathfrak{h}} = T^{\mathfrak{g}} - T^{\mathfrak{h}}$ and $c_{\mathfrak{g}/\mathfrak{h}} = c_{\mathfrak{g}} - c_{\mathfrak{h}}$.
- Representations come from appropriate branching rules for affine Lie algebra
- The coset model

$$\frac{\mathfrak{su}(2)_k \oplus \mathfrak{su}(2)_1}{\mathfrak{su}(2)_{k+1}} \quad (22)$$

- ▶ Central charge $c = 1 - 6/[(k+2)(k+3)]$
- ▶ Is coset description of unitary minimal models
- ▶ Even non-unitary minimal models if you allow WZW at fractional level (see last section of [dFMS]).

MODULAR INVARIANTS

- In CFT one can decompose \mathcal{H} into reps of Virasoro, or really the relevant chiral algebra

$$\mathcal{H} = \bigoplus_{\lambda, \mu} \mathcal{M}_{\lambda, \mu} V_{\lambda} \otimes V_{\mu} \quad (23)$$

- The partition function decomposes

$$Z(q) = \text{Tr}_{\mathcal{H}} q^{L_0 - c/24} \bar{q}^{\bar{L}_0 - c/24} \quad (24)$$

$$= \sum_{\lambda, \mu} \chi_{\lambda}(\tau) \mathcal{M}_{\lambda, \mu} \bar{\chi}_{\mu}(\bar{\tau}) \quad (25)$$

- Thus *mass matrix* \mathcal{M} classifies combinations of chiral and anti-chiral sectors, and gives multiplicity of primary field labelled by λ or μ .

$$Z(\tau) = \sum_{\lambda, \mu} \chi_{\lambda}(\tau) \mathcal{M}_{\lambda, \mu} \bar{\chi}_{\mu}(\bar{\tau}) \quad (26)$$

- Recall that the partition function must be modular invariant. i.e. we must have $Z(\tau) = Z(\tau + 1) = Z(-1/\tau)$
- Define the S and T matrices by

$$\chi_{\lambda}(\tau + 1) = \sum_{\mu} T_{\lambda\mu} \chi_{\mu}(\tau), \quad \chi_{\lambda}(-1/\tau) = \sum_{\mu} S_{\lambda\mu} \chi_{\mu}(\tau) \quad (27)$$

- This gives us a list of conditions on $M_{\lambda, \mu}$
 1. $M_{\lambda, \mu}$ is a nonnegative integer.
 2. $M_{00} = 1$ for a unique vacuum state.
 3. $[\mathcal{M}, S] = [\mathcal{M}, T] = 0$.
- For some theory, what are all the modular invariants?

MODULAR INVARIANTS: $\mathfrak{su}(2)_k$ AND ADE

- Classification of modular invariants for $\mathfrak{su}(2)_k$ was given by Cappelli, Itzykson, and Zuber... and Kato!
 - ▶ Have ADE classification
 - ▶ We will give a BS¹ explanation of this classification.
- Start by using finer grained characters which use a chemical potential to track $\mathfrak{su}(2)$ charge

$$\chi_j(\mathbf{z}; \tau) = \text{Tr}_{V_j} \left(q^{L_0 - \frac{c}{24}} y_0^3 \right). \quad (28)$$

- We seek modular invariant partition functions

$$Z(\mathbf{z}; \tau) = \sum_{j\bar{j}} \mathcal{M}_{j\bar{j}} \chi_j(\mathbf{z}; \tau) \bar{\chi}_{\bar{j}}(\mathbf{z}; \bar{\tau}) \quad (29)$$

- Note: Things here have k implicit dependency.

¹Brief Sketch; Bootstrapped; Beautifully Shortened

- We know the partition function can be written in the form

$$Z(z; \tau) = \frac{1}{|\eta(z; \tau)|^2} \sum_{j\bar{j}} R_{j\bar{j}} \Theta_j^{(k+2)}(z; \tau) \bar{\Theta}_{\bar{j}}^{(k+2)}(z; \tau) \quad (30)$$

- These are the level- k theta functions

$$\Theta_j^{(k)}(z; \tau) = \sum_{n \in \mathbb{Z} + \frac{m}{2k}} q^{kn^2} q^{kn} \quad (31)$$

- The relationship between $\chi_j(z; \tau)$ and $\Theta_j^{(k)}$ is

$$\chi_j(z; \tau) = \frac{\Theta_{2j+1}^{(k+2)}(z; \tau) - \Theta_{-2j-1}^{(k+2)}(z; \tau)}{\Theta_1^{(2)}(z; \tau) - \Theta_2^{(k+2)}(z; \tau)} \quad (32)$$

MODULAR INVARIANTS: $su(2)_k$ CHARACTER ANALYSIS

- Rather than grind through rep theory, we analyze it after the fact with special function identities:
- The q -expansion of the numerator is:

$$q^{\frac{(j+\frac{1}{2})^2}{k+2}} (y^{j+\frac{1}{2}} - y^{-j-\frac{1}{2}}) - q^{\frac{(k-j+\frac{3}{2})^2}{k+2}} (y^{k-j+\frac{3}{2}} - y^{-k+j-\frac{3}{2}}) + \dots \quad (33)$$

- The denominator is: $\Theta_1^{(2)}(z; \tau) - \Theta_2^{(k+2)}(z; \tau)$ expands out to

$$q^{\frac{1}{8}} (y^{\frac{1}{2}} - y^{-\frac{1}{2}}) \prod_{n=1}^{\infty} (1 - q^n)(1 - yq^n)(1 - y^{-1}q^n) \quad (34)$$

- This is amazing! After all, we know that

$$\chi_j^{su(2)}(z) = \sum_{m=-j}^j y^j = \frac{y^{j+\frac{1}{2}} - y^{-j-\frac{1}{2}}}{y^{\frac{1}{2}} - y^{-\frac{1}{2}}} \quad (35)$$

■ This means

$$\chi_j(\mathbf{z}; \tau) = q^{-\frac{k}{8(k+2)} + \frac{j(j+1)}{k+2}} \frac{\left(\chi_j^{\mathfrak{su}(2)}(\mathbf{z}) - q^{k+1-2j} \chi_{k+1-j}^{\mathfrak{su}(2)}(\mathbf{z}) + \dots \right)}{\prod_{n=1}^{\infty} (1 - q^n)(1 - yq^n)(1 - y^{-1}q^n)}$$

- ▶ “What else could it be!?”
- ▶ Just as we would expect, the oscillator modes (the denominator/eta functions) are multiplied by a factor reflecting the states (the numerator), and an overall factor of $q^{h - \frac{c}{24}}$.
- ▶ Moreover, we recognize the subtractions, as subtracting out the null-vector $(J_{-1}^+)^{k+1-2j} |j\rangle$ and its descendants, adding back in the descendants that are not there, etc.

MODULAR INVARIANTS: $\mathfrak{B}_{ac_k}(2)$ ADE

- Using (generalized) modular transformations and Θ , modular invariant solutions span a $\text{div}(k+2)$ -dimensional vector space. Basis vectors

$$Z^{(\alpha,\beta)} = \sum_{j\bar{j}} R_{j\bar{j}}^{(\alpha,\beta)} \Theta_j^{(k+2)} \bar{\Theta}_{\bar{j}}^{(k+2)}, \quad (36)$$

$R_{j\bar{j}}^{(\alpha,\beta)} = 1$ if $\alpha|(j+\bar{j})$ and $\beta|(j-\bar{j})$, else 0, and $\alpha\beta = k+2$.

- Writing

$$\mathcal{M}_{j\bar{j}} = \sum_{\substack{\alpha\beta=k+2 \\ \alpha^2 < k+2}} C_\alpha \left(R_{j\bar{j}}^{(\alpha,\beta)} - R_{j\bar{j}}^{(\beta,\alpha)} \right) \quad (37)$$

with $C_\alpha \in \mathbb{Z}$, and using consistency properties between the $R_{j\bar{j}}^{(\alpha,\beta)}$ and elementary (but long) divisibility arguments we can arithmetically arrive at a set of solutions to the problem posed.

$$Z_{A_{k+1}} = \sum_{\lambda=1}^{k+1} |\chi_\lambda|^2 \quad (38)$$

$$Z_{D_{2n+2}} = \sum_{\lambda \text{ odd}}^{2n-1} |\chi_\lambda + \chi_{4n+2-\lambda}|^2 + 2|\chi_{2n+1}|^2 \quad (39)$$

$$Z_{D_{2n+1}} = \sum_{\lambda \text{ odd}}^{4n-1} |\chi_\lambda|^2 + |\chi_{2n}|^2 + \sum_{\lambda \text{ even}}^{2n-2} (\chi_\lambda \bar{\chi}_{4n-\lambda} + \text{c.c.}) \quad (40)$$

$$Z_{E_6} = |\chi_1 + \chi_7|^2 + |\chi_4 + \chi_8|^2 + |\chi_5 + \chi_{11}|^2 \quad (41)$$

$$Z_{E_7} = |\chi_1 + \chi_{17}|^2 + |\chi_5 + \chi_{13}|^2 + |\chi_7 + \chi_{11}|^2 \\ + |\chi_9|^2 + [(\chi_3 + \chi_{15})\bar{\chi}_9 + \text{c.c.}] \quad (42)$$

$$Z_{E_8} = |\chi_1 + \chi_{11} + \chi_{19} + \chi_{29}|^2 + |\chi_7 + \chi_{13} + \chi_{17} + \chi_{23}|^2 \quad (43)$$

MODULAR INVARIANTS: $\mathfrak{su}(2)_k$ ADE DATA

Level	Z	Diagram	Coxeter h	Exponents
Any k	Eq. (38)	A_{k+1}	$k + 2$	$1, 2, 3, \dots, k + 1$
$k = 4n$	Eq. (39)	D_{2n+2}	$4n + 2$	$1, 3, 5, \dots, k + 1$
$k = 4n - 2$	Eq. (40)	D_{2n+1}	$4n$	$1, 3, 5, \dots, k - 1$
$k = 10$	Eq. (41)	E_6	12	$1, 4, 5, 7, 8, 11$
$k = 16$	Eq. (42)	E_7	18	$1, 5, 7, 9, 11, 13, 17$
$k = 28$	Eq. (43)	E_8	30	$1, 7, 11, 13, 17, 19, 23, 29$

MODULAR INVARIANTS: MINIMAL MODELS

- Because of coset description of minimal models, minimal models also have ADE classification.
- Modular invariants are in one-to-one correspondence with pairs of Lie algebras (A, A) , (A, D) , (D, A) , (E, A) , (A, E) .
 - ▶ These denote numerator and denominator invariant in coset.
 - ▶ e.g. Diagonal modular invariant for (p, p') is

$$Z_{(A_{p'-1}, A_{p-1})} = \sum_{(r,s) \in K_{ac}} |\chi_{r,s}|^2 \quad (44)$$

- ▶ e.g. If $p' = 2(2n + 1)$, then there is a block-diagonal modular invariant for (p, p')

$$Z_{(D_{p'/2}, A_{p-1})} = \frac{1}{2} \sum_{\substack{(r,s) \in K_{ac} \\ r=1 \pmod{2}}} |\chi_{r,s} + \chi_{p'-r,s}|^2 \quad (45)$$

MODULAR INVARIANTS: UNITARY MINIMAL MODELS

- Specializing to unitary case $(p, p') = (m + 1, m)$, the modular invariants are of the form
 - ▶ $(A_{m-1}, A_m), (A_{m-1}, D_{(m+3)/2})$ if m is odd
 - ▶ $(A_{m-1}, A_m), (A_{m-1}, D_{(m+2)/2})$ if m is even
 - ▶ Also $(A_{10}, E_6), (E_6, A_{12}), (A_{16}, E_7), (E_7, A_{18}), (A_{28}, E_8),$ or $(E_8, A_{28}),$ if $m = 11, 12, 17, 18, 29,$ or 30 respectively
- Thus, for each m , it makes sense to talk about the A -type, D -type, or E -type model at that m (if they exist).

m	A-Type Model	D-Type Model
3	Critical Ising	Critical Ising
4	Tricritical Ising	Tricritical Ising
5	Tetracritical Ising	Critical 3-State Potts
6	Pentacritical Ising	Tricritical 3-State Potts

MINIMAL MODELS AND ORBIFOLDS

ORBIFOLDS: TWISTED SECTORS

- Suppose we have some theory T , on $S^1 \times \mathbb{R}$ for concreteness, with non-anomalous \mathbb{Z}_2 symmetry
 - ▶ Can couple to background \mathbb{Z}_2 connection
 - ▶ We have an untwisted Hilbert space $\mathcal{H}_{Un.}$ and twisted Hilbert space $\mathcal{H}_{Tw.}$ depending on if the background \mathbb{Z}_2 is trivial. i.e. if we have holonomy around S^1 .
- \mathbb{Z}_2 symmetry acts on the states in these Hilbert spaces, splitting them into \mathbb{Z}_2 even and \mathbb{Z}_2 odd sectors.

$$\mathcal{H}_{T,Un.} = \mathcal{H}_{T,Un.}^+ \oplus \mathcal{H}_{T,Un.}^- \quad (46)$$

$$\mathcal{H}_{T,Tw.} = \mathcal{H}_{T,Tw.}^+ \oplus \mathcal{H}_{T,Tw.}^- \quad (47)$$

- Gauged/orbifold theory $T//\mathbb{Z}_2$ has both $\mathcal{H}_{T,Un.}$ and $\mathcal{H}_{T,Tw.}$ states (sum over all background connections), but only those that are gauge-invariant! Hence

$$\mathcal{H}_{T//\mathbb{Z}_2,Un.} = \mathcal{H}_{T,Un.}^+ \oplus \mathcal{H}_{T,Tw.}^+ \quad (48)$$

$$\mathcal{H}_{T//\mathbb{Z}_2,Tw.} = \mathcal{H}_{T,Un.}^- \oplus \mathcal{H}_{T,Tw.}^- \quad (49)$$

ORBIFOLDS: PARTITION FUNCTION

- Just as the partition function on M is

$$Z_T(\tau) = \text{Tr}_{\mathcal{H}_{T, \text{Un.}}} (q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}) \quad (50)$$

- Partition function for orbifold theory can be obtained by summing over all Hilbert spaces with correct projector onto \mathbb{Z}_2 -invariant states inserted

$$Z_{T//\mathbb{Z}_2}(\tau) = \sum_{h \in \mathbb{Z}_2} \text{Tr}_{\mathcal{H}_{T,h}} (\mathcal{P} q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}) \quad (51)$$

$$= \frac{1}{2} \sum_{g, h \in \mathbb{Z}_2} \text{Tr}_{\mathcal{H}_{T,h}} (g q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}) \quad (52)$$

- We can formalize this to

$$Z_{T//\mathbb{Z}_2} = \frac{1}{|H^1(M, G)|} \sum_{\alpha \in H^1(M, G)} Z_T[\alpha]. \quad (53)$$

- Twisted $Z_T[\alpha]$ are related by modular transforms

ORBIFOLDS: A-TYPE TWISTED Z

- $T = (A_{p'-1}, A_{p-1})$, formed by irreps (r, s) , where we take $1 \leq r \leq p' - 1, 1 \leq s \leq p - 1$, and $r + s = 0 \pmod{2}$.
- Consider the \mathbb{Z}_2 action on the chiral primary $\phi_{(r,s)}$ defined by

$$\phi_{(r,s)} \mapsto (-1)^{r+1} \phi_{(r,s)}. \quad (54)$$

- The defining equation of the operator algebra is left invariant

$$\phi_{(r_1,s_1)} \times \phi_{(r_2,s_2)} = \sum_{\substack{k=r_1+r_2-1 \\ k=1+|r_1-r_2| \\ k+r_1+r_2=1 \pmod{2}}}^{k=r_1+r_2-1} \sum_{\substack{l=s_1+s_2-1 \\ l=1+|s_1-s_2| \\ l+s_1+s_2=1 \pmod{2}}}^{l=s_1+s_2-1} \phi_{(k,l)} \quad (55)$$

- Moreover, this gives us the twisted partition function

$$Z_{+-} := \text{Tr}_{\mathcal{H}}(q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}) = \sum_{(r,s)} (-1)^{r+1} |\chi_{r,s}|^2 \quad (56)$$

ORBIFOLDS: A-TYPE MODULAR BLAH

- The S and T transformations for minimal models are

$$T_{rs;\rho\sigma} = \delta_{r,\rho}\delta_{s,\sigma}e^{2\pi i(h_{r,s}-c/24)} \quad (57)$$

$$S_{rs;\rho\sigma} = 2\sqrt{\frac{2}{pp'}}(-1)^{1+s\rho+r\sigma} \sin\left(\pi\frac{p}{p'}r\rho\right) \sin\left(\pi\frac{p'}{p}s\sigma\right) \quad (58)$$

- Allows us to explicitly obtain $Z_{-+} = SZ_{+-}$ and $Z_{--} = TZ_{-+}$.
 - ▶ e.g. Z_{--} can be computed vaguely as

$$Z_{--} = \sum_{(r,s)=0} (-1)^{\tilde{p}'+r} \bar{\chi}_{(r,s)} \chi_{\tilde{p}'-r,s} + \sum_{(r,s)=1} (-1)^{\tilde{p}'+r} \bar{\chi}_{(r,s)} \chi_{r,\tilde{p}-r-s} \quad (59)$$

- The amazing result is, that after all the work

$$Z_{T//\mathbb{Z}_2} = \frac{1}{2}(Z_T + Z_{+-} + Z_{-+} + Z_{--}) \quad (60)$$

$$= \begin{cases} Z_{(D_{p'/2+1}, A_{p-1})} & p' = 0 \pmod{2} \\ Z_{(A_{p'-1}, D_{p/2+1})} & p = 0 \pmod{2} \end{cases} \quad (61)$$

ORBIFOLDS: UNITARY MINIMAL MODELS

- In the unitary case, this means the A and D -type models are exchanged under \mathbb{Z}_2 orbifold. KW duality.

m	A-Type Model	D-Type Model
3	Critical Ising	Critical Ising
4	Tricritical Ising	Tricritical Ising
5	Tetracritical Ising	Critical 3-State Potts
6	Pentacritical Ising	Tricritical 3-State Potts

- E_6 unitary minimal models have \mathbb{Z}_2 symmetry, E_7 and E_8 do not. Also 3-State Potts have enhanced S_3 symmetry.
 - ▶ Conclude the E_6 models must be self-dual under orbifold
- Fermionization?

FERMIONIZATION AND MINIMAL MODELS

FOR QUANTUM FIELDS AND STRINGS MEETING

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MOTIVATION

MOTIVATION: DUALITIES AND FERMIONIZATION

- Dualities are critical in studying physical phenomena.
 - ▶ Some theories just have the same IR physics.
 - ▶ Some are *exact*. Two different descriptions of same physics. e.g. Kramers-Wannier duality ($g \leftrightarrow g^{-1}$) in Ising.

$$H = -J\left(\sum_i Z_i Z_{i+1} + g \sum_i X_j\right) \quad (1)$$

- A particularly interesting type of duality are those relating bosonic and fermionic theories
 - ▶ In $d = 2 + 1$, plenty of recent examples in particle-vortex like dualities and Chern-Simons matter theories [AGAY12, JMM⁺15, KT16, SSWW16].
 - ▶ In $d = 1 + 1$ Jordan-Wigner (JW) transform relates 1d spin- $\frac{1}{2}$ chain to theory of fermions. e.g. Ising spin-chain becomes Majorana chain.
 - ▶ Can be used to facilitate studies of purely bosonic relationships. e.g. defects in a CFT.

- A point stressed in recent years is that theories related by JW transform should not be thought of as equivalent.
 - ▶ Fermionic theories depend on spin-structure, bosonic theories do not
 - ▶ In older work, the $c = \frac{1}{2}$ Ising and Majorana fermion CFTs are considered to be the same. But Ising only has integral spin operators, while Majorana fermion has half-integral spin operators.
- Similarly, “smallest unitary $\mathcal{N} = 1$ minimal model” or $c = 7/10$ model, is fermionization of tricritical Ising model
- Since minimal models are so simple, do they all have fermionizations analogous to the Majorana fermion, and smallest $\mathcal{N} = 1$ model?

MINIMAL MODELS

MINIMAL MODELS: REFRESHER

- In classic paper [BPZ84], the authors show that for particular values $h_{r,s} = h_{r,s}(c)$, Verma module $V(c, h_{r,s})$ has null-vector.
 - ▶ Modding out and using Ward identities implements constraints on correlation functions. This truncates the OPE (OPE expands into finitely many fields)
 - ▶ There may still be an infinite number of families in the theory
- Given positive co-prime integers $p > p' \geq 2$, one gets a *finite number* of local fields with well-defined scaling behaviour if

$$c(p, p') = 1 - 6 \frac{(p - p')^2}{pp'} \quad (2)$$

- ▶ Unitary if $(p, p') = (m + 1, m)$.
- With highest weights taking values in

$$h_{r,s} = \frac{(pr - p's)^2 - (p - p')^2}{4pp'} \quad (3)$$

- ▶ $1 \leq r < p'$ and $1 \leq s < p$.

MINIMAL MODELS: MODULAR INVARIANTS

- To have a full CFT, we need to look at consistent gluings of the chiral halves or *modular invariants* for the theory

$$Z(\tau) = \sum_{(r_1, s_1), (r_2, s_2)} \mathcal{M}_{(r_1, s_1), (r_2, s_2)} \chi_{r_1, s_1}(\tau) \bar{\chi}_{r_2, s_2}(\tau) \quad (4)$$

1. $\mathcal{M}_{(r_1, s_1), (r_2, s_2)}$ is a nonnegative integer.
 2. $\mathcal{M}_{(1, 1), (1, 1)} = 1$ for a unique vacuum state.
 3. $[\mathcal{M}, S] = [\mathcal{M}, T] = 0$ for modular invariance.
- To classify the modular invariants for minimal models one “simply” must show if $k = (3p' - 2p)/(p - p')$ then the minimal model with $c(p, p')$ is the WZW coset model

$$\frac{\mathfrak{su}(2)_k \oplus \mathfrak{su}(2)_1}{\mathfrak{su}(2)_{k+1}} \quad (5)$$

- Then of course, one must classify the modular invariants of the $\mathfrak{su}(2)_k$ WZW model...

MINIMAL MODELS: ADE CLASSIFICATION

- Modular invariants for $\mathfrak{su}(2)_k$ was given by Cappelli, Itzykson, and Zuber [CIZ87a, CIZ87b] and Kato [Kat87]!
 - ▶ Have ADE classification. Coset models inherit this result (after some rep theory)

Modular Invariants for Minimal Models

Modular invariants of minimal models are in one-to-one correspondence with pairs of simply laced Lie algebras (A, A) , (A, D) , (D, A) , (E, A) , (A, E) .

- Specializing to unitary case $(p, p') = (m + 1, m)$, the modular invariants are of the form
 - ▶ (A_{m-1}, A_m) , $(A_{m-1}, D_{(m+3)/2})$ if m is odd
 - ▶ (A_{m-1}, A_m) , $(A_{m-1}, D_{(m+2)/2})$ if m is even
 - ▶ Also (A_{10}, E_6) , (E_6, A_{12}) , (A_{16}, E_7) , (E_7, A_{18}) , (A_{28}, E_8) , or (E_8, A_{30}) , if $m = 11, 12, 17, 18, 29$, or 30 respectively
- Thus, for each m , it makes sense to talk about *the* A -type, D -type, or E -type model at that m (if they exist).

MINIMAL MODELS: EXAMPLE

- Take $m = 5$, then $c = 4/5$ and allowed values of h are

$h_{r,s}$	$s = 1$	$s = 2$	$s = 3$	$s = 4$	$s = 5$
$r = 1$	$1(0)$	$\sigma'(\frac{1}{8})$	$\epsilon''(\frac{2}{3})$	$\sigma'''(\frac{13}{8})$	$\epsilon''''(3)$
$r = 2$	$\epsilon'(\frac{2}{5})$	$\sigma(\frac{1}{40})$	$\epsilon(\frac{1}{15})$	$\sigma''(\frac{21}{40})$	$\epsilon'''(\frac{7}{5})$

- There is the obvious (diagonal) choice for the theory, giving the partition function $Z_{(A_4, A_5)}(\tau)$. Tetracritical Ising.
- But we also note that there are some off diagonal operators which are bosonic, namely

$$\epsilon'''\bar{\epsilon}', \quad \epsilon''''\bar{1}, \quad \text{and conjugates.} \quad (6)$$

- Consistency with S and T transformations shows that a perfectly suitable modular invariant partition function is

$$Z_{(A_4, D_4)}(\tau) = \sum_{r=1,2} \{ |\chi_{r,1} + \chi_{r,5}|^2 + 2|\chi_{r,3}|^2 \} \quad (7)$$

MODULAR INVARIANTS: A AND D AT LOW m

m	A-Type Model	D-Type Model
3	Critical Ising	Critical Ising
4	Tricritical Ising	Tricritical Ising
5	Tetracritical Ising	Critical 3-State Potts
6	Pentacritical Ising	Tricritical 3-State Potts

ORBIFOLDS

ORBIFOLDS: GENERALITIES

- Suppose we have some theory T , on $S^1 \times \mathbb{R}$ for concreteness, with non-anomalous \mathbb{Z}_2 symmetry
 - ▶ Can couple to background \mathbb{Z}_2 connection. Gives untwisted $\mathcal{H}_{Un.}$ and twisted Hilbert space $\mathcal{H}_{Tw.}$.
- \mathbb{Z}_2 symmetry splits Hilbert spaces into even and odd sectors.

$$\mathcal{H}_{T,Un.} = \mathcal{H}_{T,Un.}^+ \oplus \mathcal{H}_{T,Un.}^- \quad (8)$$

$$\mathcal{H}_{T,Tw.} = \mathcal{H}_{T,Tw.}^+ \oplus \mathcal{H}_{T,Tw.}^- \quad (9)$$

- Gauged theory $T//\mathbb{Z}_2$ has both $\mathcal{H}_{T,Un.}$ and $\mathcal{H}_{T,Tw.}$ states, but only those that are gauge-invariant!

$$\mathcal{H}_{T//\mathbb{Z}_2,Un.} = \mathcal{H}_{T,Un.}^+ \oplus \mathcal{H}_{T,Tw.}^+ \quad (10)$$

$$\mathcal{H}_{T//\mathbb{Z}_2,Tw.} = \mathcal{H}_{T,Un.}^- \oplus \mathcal{H}_{T,Tw.}^- \quad (11)$$

- $T//\mathbb{Z}_2$ has a new $\hat{\mathbb{Z}}_2$ symmetry coming from the even/odd splitting, and $T//\mathbb{Z}_2//\hat{\mathbb{Z}}_2 = T$.

- In terms of twisted partition functions

$$Z_T[\alpha_1, \alpha_2] = \text{Tr}_{\mathcal{H}_{T, \alpha_1}}(\alpha_2 \cdot q^{L_0 - \frac{c}{24}} \bar{q}^{\bar{L}_0 - \frac{c}{24}}) \quad (12)$$

this is simply saying

$$Z_{T//G}[\beta_1, \beta_2] = \frac{1}{\sqrt{|H^1(M, G)|}} \sum_{\alpha \in H^1(M, G)} (-1)^{f_{\alpha \cup \beta}} Z_T[\alpha]. \quad (13)$$

- Consider the diagonal minimal model $T = (A_{p'-1}, A_{p-1})$. There is a symmetry transformation

$$\phi_{(r,s)} \mapsto (-1)^{(m+1)r+ms+1} \phi_{(r,s)}. \quad (14)$$

- This gives us the twisted partition function with non-trivial defect around space direction

$$Z_T[0, 1] = \sum_{(r,s)} (-1)^{(m+1)r+ms+1} |\chi_{r,s}|^2 \quad (15)$$

► S and T transformations give $Z_T[1, 0]$ and $Z_T[1, 1]$.

- The amazing result is, that after all the work

$$\begin{aligned} Z_{T//\mathbb{Z}_2} &= \frac{1}{2} (Z_T[0, 0] + Z_T[0, 1] + Z_T[1, 0] + Z_T[1, 1]) \\ &= \begin{cases} Z_{(D_{p'/2+1}, A_{p-1})} & p' = 0 \pmod{2} \\ Z_{(A_{p'-1}, D_{p/2+1})} & p = 0 \pmod{2} \end{cases} \quad (16) \end{aligned}$$

ORBIFOLDS: UNITARY MINIMAL MODELS

- In the unitary case, this means *the A and D-type models are exchanged under \mathbb{Z}_2 orbifold.*

m	A-Type Model	D-Type Model
3	Critical Ising	Critical Ising
4	Tricritical Ising	Tricritical Ising
5	Tetracritical Ising	Critical 3-State Potts
6	Pentacritical Ising	Tricritical 3-State Potts

- E_6 unitary minimal models have \mathbb{Z}_2 symmetry, E_7 and E_8 do not. Also 3-State Potts have enhanced S_3 symmetry [RV98, CW20].
 - ▶ Conclude the E_6 models must be self-dual under orbifold
 - ▶ These are the symmetries of the associated Dynkin diagrams.
 - ▶ Symmetry of RSOS model not enhanced or broken in continuum limit.

FERMIONIZATION

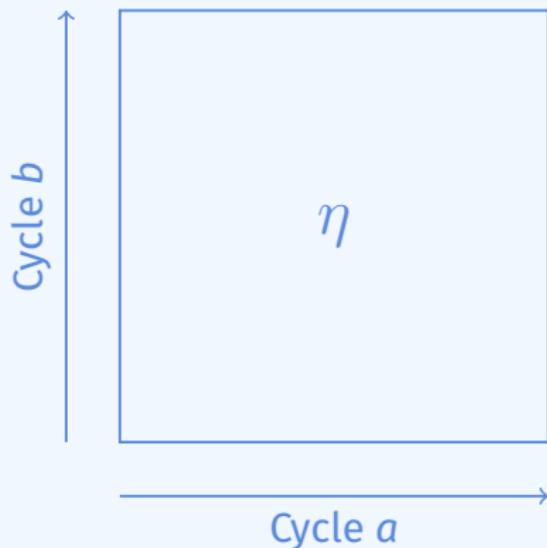
FERMIONIZATION: SPIN THEORIES

- By a 2d fermionic theory, I mean a QFT that requires spin structure and possibly has operators of half-integral spin
 - ▶ Comes equipped with a \mathbb{Z}_2^f or $(-1)^F$ symmetry
 - ▶ “Background connection” is spin-structure, an “affine \mathbb{Z}_2 connection” with curvature $w_2(M)$
- Two topological invertible phases for 2d theory with $(-1)^F$
 - ▶ Topological invertible phase given by $\text{Hom}(\Omega_2^{\text{Spin}}(pt), U(1))$ [KTTW15]. Isomorphic to \mathbb{Z}_2 , non-trivial element $(-1)^{\text{Arf}[\eta]}$
 - ▶ Two phases can be thought of as 2d analog for 3d Chern-Simons term.

$$Z_{\text{Maj.}}(m \gg 0, \eta) / Z_{\text{Maj.}}(m \ll 0, \eta) = (-1)^{\text{Arf}[\eta]} \quad (17)$$

- Practically $\text{Arf}[\eta] = \{\text{Number of Zero Modes}\} \pmod 2$
- Alternatively, these are the two phases of the Kitaev Majorana chain [Kito1, Kito9, FK11].

FERMIONIZATION: QUADRATIC REFINEMENT



If a fermion goes around cycle a

$$L_f(a) |f, \eta\rangle = (-1)^{q_\eta(a)} |f, \eta\rangle$$

where

$$q_\eta(a) = \begin{cases} 0 & \text{if NS} \\ 1 & \text{if R} \end{cases}$$

Then we see that

$$q_\eta(a) + q_\eta(b) = q_\eta(a + b) + a \cap b \quad (18)$$

FERMIONIZATION: FERMIONIC PARTITION FUNCTION

- Result is that there is a bijection between spin-structures η on M and quadratic refinements $q_\eta : H^1(M, \mathbb{Z}_2) \rightarrow \mathbb{Z}_2$.
 - ▶ Associates a spin-structure to a function on \mathbb{Z}_2 gauge fields
- The partition function for a fermionic theory T_f is a discrete Fourier transform of T_b . This is a generalized *Jordan-Wigner* transformation

$$Z_{T_f}[\eta] = \frac{1}{\sqrt{|H^1(M, \mathbb{Z}_2)|}} \sum_{\alpha} (-1)^{q_\eta(\alpha)} Z_{T_b}[\alpha] \quad (19)$$

- Moreover, if we compare Hilbert spaces for T_b and T_f

$$\mathcal{H}_{NS}^+ = \mathcal{H}_{Un.}^+ \quad \mathcal{H}_{NS}^- = \mathcal{H}_{Tw.}^+ \quad (20)$$

$$\mathcal{H}_R^+ = \mathcal{H}_{Un.}^- \quad \mathcal{H}_R^- = \mathcal{H}_{Tw.}^- \quad (21)$$

FERMIONIZATION: GSO PROJECTION

- The reverse operation is a GSO projection

$$Z_{T_b}[\alpha] = \frac{1}{\sqrt{|H^1(M, \mathbb{Z}_2)|}} \sum_{\eta} (-1)^{q_{\eta}(\alpha)} Z_{T_f}[\eta] \quad (22)$$

- Stacking a fermionic theory T_f with Arf flips the fermion parity operator for the Ramond states.

- ▶ This swaps $\mathcal{H}_R^+ \longleftrightarrow \mathcal{H}_R^-$.
- ▶ Bosonically, this is $\mathcal{H}_{\text{Un.}}^- \longleftrightarrow \mathcal{H}_{\text{Tw.}}^+$.

- This means that

$$\text{GSO}[T_f] // \mathbb{Z}_2 = \text{GSO}[T_f \times \text{Arf}] \quad (23)$$

- ▶ This can be shown easily/algebraically from the definitions we have so far.
- ▶ From Kitaev Majorana chain perspective, stacking with Arf changes whether we are studying the Kitaev chain with $m < 0$ (unpaired) or $m > 0$ (paired) edge modes.

FERMIONIZATION: PICTORIAL EXPLANATION

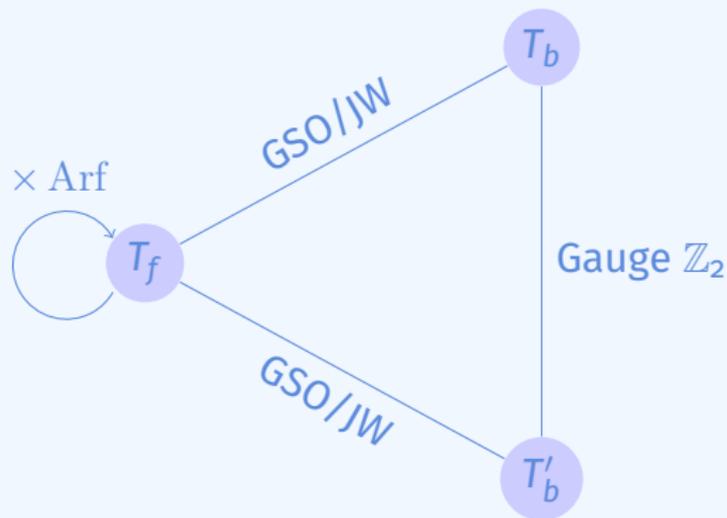


Figure: Gauging the $(-1)^F$ symmetry of a spin theory T_f produces a bosonic theory T_b with \mathbb{Z}_2 symmetry. A different bosonic theory T'_b is produced if one first stacks with the Arf theory. These two theories are related by \mathbb{Z}_2 orbifold. Since the two fermionic minimal models differ only in assignment of $(-1)^F$ in R-sector, we choose not to distinguish them.

FERMIONIC MINIMAL MODELS

- This formula tells us the familiar relationship between Majorana and Ising

$$Z_{\text{Maj.}}[\text{NS}, \text{NS}] = \chi_0 \bar{\chi}_0 + \chi_0 \bar{\chi}_{\frac{1}{2}} + \chi_{\frac{1}{2}} \bar{\chi}_0 + \chi_{\frac{1}{2}} \bar{\chi}_{\frac{1}{2}} \quad (24)$$

$$Z_{\text{Maj.}}[\text{NS}, \text{R}] = \chi_0 \bar{\chi}_0 - \chi_0 \bar{\chi}_{\frac{1}{2}} - \chi_{\frac{1}{2}} \bar{\chi}_0 + \chi_{\frac{1}{2}} \bar{\chi}_{\frac{1}{2}} \quad (25)$$

$$Z_{\text{Maj.}}[\text{R}, \text{NS}] = 2\chi_{\frac{1}{16}} \bar{\chi}_{\frac{1}{16}} \quad (26)$$

$$Z_{\text{Maj.}}[\text{R}, \text{R}] = 0 \quad (27)$$

- ▶ GS in R sector is degenerate $|\frac{1}{16}\rangle_{\pm}$, with different signs under $(-1)^F$ so $\text{Tr}_{\text{R}}(-1)^F q^{L_0} = 0$. Stacking with Arf swaps the $(-1)^F$ assignments.
- ▶ Ising model is self-dual under orbifold, so we have Ramond-Ramond is 0.

- With all of these generalities under wraps, we can obtain some results by stringing together specifics.
- In [arXiv:2002.12283] use the fact that there is a \mathbb{Z}_2 orbifold relating A and D type unitary minimal models. The authors argue that there is a fermionic model (spin-structure, half-integral spin operators) for each $(A, A) \leftrightarrow (A, D)$ pair of minimal models.

m	A-Type Model	D-Type Model	Fermionic Model
3	Critical Ising	Critical Ising	Majorana Fermion
4	Tricritical Ising	Tricritical Ising	$\mathcal{N} = 1$ S-Minimal Model
5	Tetracritical Ising	Critical 3-State Potts	$m = 5$ Fermionization
6	Pentacritical Ising	Tricritical 3-State Potts	$m = 6$ Fermionization

- Some results similar to this had already been obtained in [arXiv:2001.05055].
 - ▶ However, the authors were particularly interested in fermionic CFT defects.
 - ▶ In particular, they only studied minimal models where the chiral algebra could be extended by an operator of half-integral spin.
 - ▶ This restricted their study of (unitary) models to just those with $m \equiv 0, 3 \pmod{4}$, because these have a W -generator with half-integer spin (as opposed to $m \equiv 1, 2$ which have W -generator with integral spin).

- These fermionic models have spin-chain realizations.
 - ▶ Take spin-chain for bosonic minimal model. Sites may have state-space \mathbb{C}^k with $k \neq 2$, so embed $\mathbb{C}^k \subseteq (\mathbb{C}^2)^{\otimes \ell}$ so sites of the original chain are unit cells of ℓ sites in new chain.
 - ▶ Remove the additional $2^\ell - k$ unnecessary states by adding large (local) terms to the Hamiltonian
 - ▶ Apply usual JW transformation on spin- $\frac{1}{2}$ chain
- Consider the 3-state Potts. Basis $\{|n\rangle\}_{n=0,1,2}$ with $Z : |n\rangle \mapsto \omega^n |n\rangle$ and $X : |n\rangle \mapsto |n+1\rangle$ the Hamiltonian is

$$H = -J \left(\sum_i Z_i Z_{i+1}^{-1} + g \sum_j X_j \right) \quad (28)$$

- Get spin- $\frac{1}{2}$ setup by replacing

$$\begin{aligned}
 |0\rangle_i &= |\uparrow\rangle_{2i-1} |\uparrow\rangle_{2i} & |1\rangle_i &= \frac{1}{\sqrt{2}} |\downarrow\rangle_{2i-1} (-|\uparrow\rangle_{2i} + |\downarrow\rangle_{2i}) \\
 |2\rangle_i &= \frac{1}{\sqrt{2}} |\downarrow\rangle_{2i-1} (|\uparrow\rangle_{2i} + |\downarrow\rangle_{2i}) & |Aux\rangle &= |\uparrow\rangle_{2i-1} |\downarrow\rangle_{2i}
 \end{aligned}$$

- In a short note [arXiv:2003.04278] point out that it is known that E_6 unitary minimal models have \mathbb{Z}_2 symmetry, E_7 and E_8 do not.
 - ▶ Conclude that the $m = 11$ and $m = 12$ exceptional unitary minimal models, (A_{10}, E_6) and (E_6, A_{12}) , have fermionizations.
 - ▶ Moreover, from the symmetry result, there are no more \mathbb{Z}_2 s left to fermionize. So these must be the only missing cases.
 - ▶ Said in the converse, if there was another unitary fermionic theory, a GSO projection should give a unitary bosonic theory with $c < 1$ and non-anomalous \mathbb{Z}_2 .
- We can check this result too, if we calculate the partition functions we should find (as argued before) that
 1. There is an “honest” Kramers-Wannier duality for the E_6 models. i.e. they are self-dual under orbifold.
 2. The Ramond-Ramond partition functions should be 0.

FERMIONIC MINIMAL MODELS: THE WORK (A_{10}, E_6)

$$Z[0, 0] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,1)} + \chi_{(r,7)}|^2 + |\chi_{(r,4)} + \chi_{(r,8)}|^2 + |\chi_{(r,5)} + \chi_{(r,11)}|^2$$

$$Z[0, 1] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,1)} + \chi_{(r,7)}|^2 - |\chi_{(r,4)} + \chi_{(r,8)}|^2 + |\chi_{(r,5)} + \chi_{(r,11)}|^2$$

$$Z[1, 0] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,4)} + \chi_{(r,8)}|^2 + \{(\chi_{(r,1)} + \chi_{(r,7)})^*(\chi_{(r,5)} + \chi_{(r,11)}) + \text{c.c.}\}$$

$$Z[1, 1] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,4)} + \chi_{(r,8)}|^2 - \{(\chi_{(r,1)} + \chi_{(r,7)})^*(\chi_{(r,5)} + \chi_{(r,11)}) + \text{c.c.}\}$$

FERMIONIC MINIMAL MODELS: THE RESULT (A_{10}, E_6)

$$Z_f[0, 0] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,1)} + \chi_{(r,7)}|^2 + |\chi_{(r,5)} + \chi_{(r,11)}|^2 \\ + \{(\chi_{(r,1)} + \chi_{(r,7)})^*(\chi_{(r,5)} + \chi_{(r,11)}) + \text{c.c.}\}$$

$$Z_f[0, 1] = \sum_{r=1, \text{odd}}^{10} |\chi_{(r,1)} + \chi_{(r,7)}|^2 + |\chi_{(r,5)} + \chi_{(r,11)}|^2 \\ - \{(\chi_{(r,1)} + \chi_{(r,7)})^*(\chi_{(r,5)} + \chi_{(r,11)}) + \text{c.c.}\}$$

$$Z_f[1, 0] = \sum_{r=1, \text{odd}}^{10} 2|\chi_{(r,4)} + \chi_{(r,8)}|^2$$

$$Z_f[1, 1] = 0$$

CONCLUSION

CONCLUSION: SUMMARY AND FUTURE DIRECTION

Summary:

- Recent advances in condensed matter and high energy physics have provided a sharper understanding of the relationship between bosonic and fermionic theories and orbifolds
- The most tractable CFTs are the minimal models, the fermionic analogs of all minimal model CFTs are now well explored at the level of: duality defects, partition functions, and explicit lattice realizations

Future:

- In [CW20] they compute global symmetries for $SU(2)_k$ CFTs, so all of these explicit calculations could be extended to anything written in terms of $SU(2)_k$ WZW models.
- It would be nice to understand RSOS models further

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